CODE OF CONDUCT FOR SUPPLIERS

OF FORSCHUNGSZENTRUM JÜLICH GMBH

Mitglied der Helmholtz-Gemeinschaft

JÜLICH
Forschungszentrum

Forschungszentrum Jülich GmbH (hereinafter referred to as FZJ and/or 'we') is a publicly funded organisation and one of Europe's leading interdisciplinary research institutions. As a member of the Helmholtz Association, it makes significant contributions to addressing major societal challenges in the fields of information, energy and the bioeconomy. It focuses on the future of information technologies and processing, complex processes in the human brain, the transformation of the energy system and a sustainable bioeconomy.

We have set out our human rights and environmental expectations in our policy statement (available online at https://www.fz-juelich.de/de/ueber- uns/mission/ compliance) on our human rights strategy, and we attach great importance to ensuring that our supply chains develop sustainably.
In particular, Forschungszentrum Jülich GmbH has also set itself the goal of reducing energy consumption in the long term and in a sustainable manner, whilst conserving resources and protecting the environment as effectively as possible. To this end, an energy management system in accordance with DIN EN ISO 50001 is in operation.

We intend to work on this together with our suppliers.

In line with this, we expect our suppliers to comply with applicable laws and internationally recognised standards on human rights, the environment, social issues and business ethics. We refer in particular to the international conventions, regulations and principles (such as the UN Guiding Principles on Business and Human Rights, the Guidelines on Children's Rights and Business, and the ILO Core Labour Standards), which our suppliers are expected to support, follow and implement by passing them on to their own suppliers and subcontractors. Above all, this means that our suppliers must not attempt to circumvent this Code of Conduct.

- ✓ …comply with applicable health and safety, environmental and security regulations, as well as with international agreements relating to environmental standards.
- ✓ …minimise the negative impacts they cause on biodiversity, climate change and water scarcity in order to protect people's livelihoods.
- ✓ …protect the life and health of the public, their own employees and their local communities from potential hazards arising from their own manufacturing processes and products.
- ✓ …promote the safe and environmentally sound development, manufacture, transport, use and disposal of your products.
- ✓ …implement appropriate management systems, thereby ensuring that the quality and safety of your products meet the applicable requirements.
- ✓ …ensure the efficient use of resources and employ environmentally friendly and energy-efficient technologies.
- ✓ …reduce the volumes of waste they generate, as well as emissions into the air, water and soil.
- ✓ …refrain from and prohibit the unlawful forced eviction and the unlawful expropriation of land, as well as of water bodies and forests.

02 Employment and Social Responsibility

Our suppliers…

- ✓ …prohibit unequal treatment, harassment, discrimination, abuse and inhumane treatment of individuals, in particular on the grounds of gender, race, skin colour, ethnic, social or national origin, ideology, sexual orientation, disability, political opinion, religion, age, maternity, family status, health status or other legally protected characteristics, and provide appropriate support to their own suppliers and subcontractors in this respect.

- ✓ …treat employees with respect, respecting their personal dignity, privacy and personal rights.
- ✓ …promote a balanced and therefore inclusive working environment.
- ✓ …ensure that they uphold applicable international human rights in their activities and, above all, in their dealings with their own employees, and that they do not use forced labour (e.g. modern slavery and human trafficking) or child labour – in any form whatsoever – nor do they use intermediate products manufactured with the aid of such labour.
- ✓ …support freedom of association and employees' right to collective bargaining in accordance with applicable laws.
- ✓ …enable their employees to communicate with management about working conditions and to raise concerns or report potentially unlawful practices in the workplace.
- ✓ …comply with applicable minimum wages and working hours in accordance with local laws, and ensure that these are appropriate to the local living conditions living wage that is appropriate to the respective
- ✓ …when employing security personnel to protect their business, ensure that these security personnel comply with applicable laws and regulations.
- ✓ …establish processes for conflict minerals (tin, tungsten, tantalum and gold, as well as other raw materials such as cobalt) in accordance with the Guidelines of the Organisation for Economic Co-operation and Development (OECD) on due diligence to promote responsible supply chains for minerals from conflict-affected and high-risk areas and expects the same of its suppliers. Suppliers should avoid smelters and refineries without appropriate, audited due diligence processes
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03 Ethics and Business Conduct

Our suppliers...

- ✓ …ensure compliance with applicable national and international trade laws and regulations, in particular those relating to competition law, trade controls and sanctions regimes.
- ✓ …recognise corporate integrity as the foundation of business relationships.
- ✓ …prohibit bribery, corruption and money laundering in any form.
- ✓ …prohibit the giving and accepting of gifts to private individuals and/or public officials with the aim of influencing business decisions or inducing these persons to breach their obligations.
- ✓ …respect the confidential information of employees and their business partners and protect their data and intellectual property from misuse.
- ✓ …implement their own appropriate compliance management system, which supports compliance with applicable laws, regulations and existing standards.
- ✓ …organise training programmes to provide their own managers and employees with an appropriate level of knowledge and understanding regarding the content of the Code of Conduct and, above all, the applicable laws, regulations and valid standards.

You...

…undertake to comply with this Code of Conduct in relation to us and commit to these principles through an appropriate company policy or express this commitment through your own code of conduct.

We reserve the right to carry out audits and/or assessments to ensure that you comply with the relevant laws, regulations and standards. We make it clear that we will take appropriate measures regarding the business relationship – up to and including its termination – if we identify breaches of the principles set out in the Code of Conduct and you fail to take remedial action, or if we identify systematic breaches on your part.

Our Code of Conduct does not affect any further (contractual) obligations on the part of suppliers; these also take precedence over the provisions described here.

We have a complaints procedure in place for concerns regarding a possible misconduct , which can be accessed is in Internet at the https://www.fz-juelich.de/de/ueber-us/mission/compliance