# Geometric phases in strongly interacting, driven open quantum systems

Maarten Wegewijs, Thilo Plücker, Janine Splettstoesser

 $\rightarrow$  see **arXiv:1609.06167** (Phys. Rev. B, in print)



JARA FIT







MC-2

Discussions: H. Calvo, L. Classen, M. Pletyukhov (RWTH), Y. Mokrousov (FZ-Jülich)

**D**FG

Bibliography

Following the book chapter:

- I. Geometry, topology and physics  $\rightarrow$  **Open-systems**
- II. Adiabatic response in transport  $\rightarrow$  Simple, transparent
- III. Full counting statistics  $\rightarrow$  General, similar to closed systems



# I Geometry, topology and physics

**Circuits** with *n* terminals  $\sim n-1$  dimensional **topological** material Riwar et. al [17]



 $\Rightarrow$  Need clear general view of **underlying** (differential) **geometry** of open systems

- Dissipation, nonequilibrium = **essential** ingredient
- Circuits ~ space of charge  $\hat{N}$  + conjugate phase  $\hat{\chi}$  [16], [13]

 $[\hat{N}, \hat{\chi}] = i$ 

# 1 Physics and geometry

Geometric open-system approaches including measurements

- (seem to) have **no direct link** with **closed-system** Berry-Simon approach
- (seem to) say **physically opposite things**...

$\mathbf{AR}$	FCS
Nonadiabatic	Adiabatic
Stationary	Nonstationary
Landsberg phase	Berry-Simon phase

• produce same results Sinitsyn [24], Nakajima et. al [14], Plücker et. al [30]

$$\Delta N^{\rm AR} = \Delta N^{\rm FCS}$$

## •• Comparing geometric approaches

1. In which physical space are we solving a problem ?

Fiber bundle = base  $\times$  fibers (*naive*)

Fibers **define** "vertical" but

"Horizontal" is **not defined** in such a space

- 2. Restrictions of physics expressible as geometry ?
  - Connection A =definition of "horizontal"
  - Curvature B = "horizontal lifts" tend to break

**Topology** of fiber bundle ("*twisted product*")

$$\int dR \operatorname{polynomials}(B) \in \mathbb{Z} \quad \begin{array}{c} \operatorname{NOT} \\ \operatorname{DISCUSSED} \end{array}$$



# **2** Adiabatic state evolution of closed quantum systems

$$rac{d}{dt} |\psi
angle \ = \ -i \, \hat{H} |\psi
angle$$

with parametric driving  $\hat{H}(t) = \hat{H}[R(t)]$ 

$$|\psi(t)\rangle = ?$$

$$rac{\mathrm{d}}{\mathrm{d}t} |\psi
angle \; = \; -i\,\hat{H} |\psi
angle$$

1. Parametric stationary solutions  $|h_n[R]\rangle$ 

$$\hat{H}|h_n\rangle = h_n |h_n\rangle$$

2. Gauge freedom (unitary, compact)

$$|h_n\rangle \rightarrow g_n |h_n\rangle, \qquad |g_n| = 1, \qquad g_n[R] \quad \begin{array}{c} \text{continuous} \\ \text{and smooth} \end{array}$$

3. Eigenspace decoupling for nonstationary state: with  $h_n(t) = h_n[R(t)]$ 

$$|\psi(t)\rangle = \sum_{n} c_n(t) |h_n(t)\rangle, \qquad c_n(0) = \langle h_n(0) | \psi(0) \rangle$$

when projecting with  $\langle h_n | \bullet$ 

$$\langle h_n | \frac{\mathrm{d}}{\mathrm{d}t} | \psi \rangle \approx \frac{\mathrm{d}}{\mathrm{d}t} c_n \langle h_n | h_n \rangle + c_n \langle h_n | \frac{\mathrm{d}}{\mathrm{d}t} | h_n \rangle \stackrel{!}{=} -i h_n \cdot c_n = -i \langle h_n | \hat{H} | \psi \rangle$$

#### •• Adiabatic pure quantum state

$$\begin{aligned} |\psi(T)\rangle &\approx \sum_{n} e^{\int_{0}^{T} \mathrm{d}t \left(-ih_{n} - \langle h_{n} | \frac{\mathrm{d}}{\mathrm{d}t} | h_{n} \rangle\right)} \cdot c_{n}(0) \cdot |h_{n}[R(T)]\rangle \\ &= \sum_{n} e^{\int_{0}^{T} \mathrm{d}t (-ih_{n}) - \int_{\mathcal{C}} \mathrm{d}RA_{n}[R]} \cdot c_{n}(0) \cdot |h_{n}[R(0)]\rangle \end{aligned}$$

• **Eigenvalues**  $\Rightarrow$  nongeometric factor  $e^{\int_0^T dt(-ih_n)}$ 

• **Eigenvectors**  $\Rightarrow$  geometric factor  $e^{-\int_{\mathcal{C}} dR A_n[R]}$  with **Berry-Simon connection** 

$$A_n[R] := \langle h_n | \nabla_R | h_n \rangle$$

Because we consider **quantum state** (**not** true for other objects!)

(math) Eigenspace decoupling = adiabatic approximation (physics)

$$\langle h_n | \frac{\mathrm{d}}{\mathrm{d}t} | h_n \rangle = \langle h_n | \dot{R} \cdot \nabla_R | h_n \rangle = \dot{R}(t) \cdot A_n[R(t)]$$

$$\int_0^T \mathrm{d}t \, \dot{R}(t) \, A_n[R(t)] = \int_{\mathcal{C}} \mathrm{d}R A_n[R]$$

#### •• Gauge freedom connection – gauge invariance solution

Under change of gauge of each eigenvector  $(g_n[R] \text{ continuous, smooth})$ 

$$|h_n\rangle \rightarrow g_n|h_n\rangle = e^{i\varphi_n}|h_n\rangle$$

• Connection changes<sup>1</sup>

$$A_n \rightarrow A_{n,g} = A_n + \frac{1}{g_n} \nabla_R g_n = A_n + \nabla_R (i\varphi_n)$$

• but geometric factor **invariant** 

$$e^{-\int_{\mathcal{C}} \mathrm{d}RA_n[R]} = e^{-\int_{\mathcal{C}} \mathrm{d}RA_{n,g}[R]}$$

Not artifact of coordinate choice !

$$\overline{1. \quad A_{n,g}} = \left( \langle h_n | \frac{1}{g_n} \right) \nabla_R \left( g_n | h_n \rangle \right) = \frac{1}{g_n} g_n \cdot \langle h_n | \nabla_R | h_n \rangle + \frac{1}{g_n} \nabla_R g_n \cdot \langle h_n | h_n \rangle = A_n + \frac{1}{g_n} \nabla_R g_n$$

What is a "geometric effect"?

(systematically)

## •• Guiding questions

1. Fiber bundle? Total physical space

$$(R, g_n) = \begin{pmatrix} \text{driving} \\ \text{parameter} \end{pmatrix}$$
, eigenstate  $|h_n\rangle$   
normalization

2. Connection? Broken physical solution curve

$$g_n(T) = e^{-\int_0^T \mathrm{d}t \, \dot{R} \cdot A_n} \cdot g_n(0) \neq 1 \cdot g_n(0)$$

= **unavoidable phase** due to cyclic driving

**Try to** "gauge away"  $A_n$  from solution

$$A_{n,g} \cdot \dot{R} = A_n \cdot \dot{R} + \frac{1}{g_n} \cdot \frac{\dot{g}_n}{=} 0$$

= maintain constant phase for  $|h_n\rangle$ 

$$(\dot{R}, \dot{g}_n)$$
 defines "**horizontal**" vector

"Horizontal lift" / "parallel transport" of vector breaks closed base curve C



#### •• Curvature

#### 2. Curvature?

= "measure of **breakage** of horizontal lift curves"

$$B_n = \nabla_R \times A_n = \langle \nabla_R h_n | \times | \nabla_R h_n \rangle$$

For infinitesimal curve  $\mathcal{C}$  around  $\overline{R}$  enclosing area  $\mathcal{S} \to 0$ 

$$g_n(T) = e^{-\int_{\mathcal{C}} \mathrm{d}R A_n[R]} \cdot g_n(0)$$
  
Stokes  
$$\approx \left(1 - \mathcal{S} \cdot B_n[\bar{R}]\right) \cdot g_n(0)$$



What is kept constant ? (Phase  $\langle h_n | \frac{\mathrm{d}}{\mathrm{d}t} | h_n \rangle$  of each state in superposition)

# **3** Adiabatic state evolution of open quantum systems

# •• Open systems (1)

• Open system in **contact** (V)

$$\hat{H}^{\text{tot}} = \hat{H} + \hat{H}^{\text{R}} + \hat{V}$$

## interactions

with noninteracting equilibrium reservoirs

$$\hat{\rho}^{\mathrm{R}} = \prod_{r=L,R} \frac{1}{Z^{r}} e^{-(\hat{H}^{r} - \mu^{r} \hat{N}^{r})/T^{r}}$$

• Schrödinger dynamics (Liouville - von Neumann)

$$\frac{\mathrm{d}}{\mathrm{d}t}\,\hat{\rho}^{\mathrm{tot}} = -i\left[\hat{H}^{\mathrm{tot}},\,\hat{\rho}^{\mathrm{tot}}\right]$$

• **Reduced density operator** incorporates reservoirs

$$\hat{\rho} := \underset{\mathbf{R}}{\operatorname{tr}} \hat{\rho}^{\operatorname{tot}}$$
 mixed quantum state



#### •• Open systems (2)

• Hilbert-Schmidt / Liouville supervector

$$\hat{\rho} \cong \begin{bmatrix} \ddots & & \\ & \rho_{ij} & \\ & & \ddots \end{bmatrix} \longrightarrow |\rho\rangle \cong \begin{bmatrix} \vdots \\ \vdots \\ \rho_{ij} \\ \vdots \\ \vdots \end{bmatrix}$$

• **Trace**  $\Rightarrow$  scalar product for 2 operators A and B

$$(A|\bullet = \operatorname{tr} \hat{A}^{\dagger} \bullet \quad \longleftarrow \quad (A|B) = \operatorname{tr} \hat{A}^{\dagger} \hat{B} = \sum_{ij} A_{ij}^* B_{ij} \longrightarrow \quad |B) = \hat{B}$$

$$(1|\bullet = tr 1 \bullet = tr \bullet$$

• **Evolution equation**: ignoring memory effects:

$$\frac{\mathrm{d}}{\mathrm{d}t}|
ho) = -iL|
ho) + W|
ho)$$

- Liouvillian superoperator  $L \hat{\rho} = [\hat{H}, \hat{\rho}] \Rightarrow$  Hamiltonian dynamics
- Memory kernel superoperator  $W \Rightarrow$  nonequilibrium, dissipation

#### •• Adiabatic approximation (1)

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho) = W|\rho)$$

with parametric driving W(t) = W[R(t)] Sarandy, Lidar [19],[20]

$$|\rho(t)\rangle = ?$$

W =**not** (skew) hermitian matrix

- Nonorthogonal eigenvectors = different left / right eigenvectors  $^2$
- **Zero** eigenvectors

$$\frac{\mathrm{d}}{\mathrm{d}t} \mathrm{tr}\,\hat{\rho}(t) = \mathrm{tr}\,W\hat{\rho}(t) = (\mathbb{1}|W|\rho(t)) = 0 \quad \Rightarrow \quad \begin{cases} \mathrm{tr}\hat{\rho}(t) = 1 & \text{probability} \\ \mathrm{conservation} \\ \lim_{t \to \infty} \hat{\rho}(t) \text{ exists} & \text{stationary} \\ \text{state (unique)} \end{cases}$$

<sup>2.</sup> There is a nontrivial duality for general open fermion systems [22], [28] in wide-band limit: the left eigenvectors for a **repulsive** system  $\sim$  right ones for dual **attractive** system.

#### •• Adiabatic approximation (2)

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho) = W|\rho)$$

1. Parametric solutions:

Amplitude covectors  $\hat{w}_n \neq$  decay modes  $\hat{w}_n +$  complex eigenvalue  $w_n$  (Re  $w_n \leq 0$ )

$$(\overline{w}_n|W = (\overline{w}_n|w_n, \quad W|w_n) = w_n|w_n)$$

Zero eigenvalue:

$$(\bar{w}_0| = (\mathbb{1}| = \operatorname{tr} \bullet \leftarrow (\bar{w}_0|W = 0, W|w_0) = 0 \Rightarrow \lim_{t \to \infty} |\rho(t)| = |w_0)$$

2. Gauge freedom preserving  $(\bar{w}_n | w_{n'}) = \delta_{nn'}$  (nonunitary, noncompact)

$$(\overline{w}_n) \rightarrow (\overline{w}_n) \cdot \frac{1}{g_n}, \quad |w_n) \rightarrow g_n \cdot |w_n), \quad g_n \neq 0$$

Zero eigenvectors:

$$g_0 = 1$$
 probability conservation

3. Eigenspace decoupling for nonstationary state: with  $w_n(t) := w_n[R(t)]$ 

$$c_n(0) = (\bar{w}_n | \rho(0)) = \operatorname{tr} \hat{\bar{w}}_n^{\dagger} \rho(0), \qquad |\rho(t)) = 1 \cdot |w_0(t)| + \sum_{n \ge 1} c_n(t) |w_n(t)|$$

• Fixed parameters *R*: stationary (surviving) + nonstationary (decaying) part

$$|\rho(t)\rangle = e^{W[R]t} \cdot |\rho(0)\rangle = 1 \cdot |w_0[R]\rangle + \sum_{n \ge 1} e^{w_n[R]t} \cdot c_n(0) \cdot |w_n[R]\rangle \xrightarrow{t \to \infty} |w_0[R]\rangle$$

$$\uparrow$$

constant !

Eigenvectors:

$$W|w_n) = w_n|w_n)$$

• Driven parameters R(t): after transients adiabatic steady state = parametrically stationary + nonstationary part

$$|\rho(t)\rangle = \dots \xrightarrow{t \to \infty} 1 \cdot |w_0[R(t)]\rangle + \sum_{n \ge 1} c_n(t) \cdot |w_n[R(t)]\rangle$$

**Amplitude** (super)covectors  $\hat{w}_n \neq \hat{w}_n$  Decay **mode** (super)vectors

• Right eigenvectors = decay **modes** 

$$W|w_n) = \begin{bmatrix} \vdots \\ \cdots & W_{ij,i'j'} & \cdots \\ \vdots & \end{bmatrix} \begin{bmatrix} \vdots \\ (w_n)_{i'j'} \\ \vdots \end{bmatrix} \leftarrow \operatorname{not} (\overline{w}_n)_{ij}$$

• Left eigenvectors = decay **amplitude** 

$$\begin{aligned} (\bar{w}_n|W) &= \begin{bmatrix} \cdots & (\bar{w}_n)_{ij}^* & \cdots \end{bmatrix} \begin{bmatrix} \vdots & \vdots \\ \cdots & W_{ij,i'j'} & \cdots \\ \vdots & \vdots & \vdots \\ & & \mathbf{not} & (w_n)_{ij}^* \end{aligned} \end{aligned}$$

#### •• Adiabatic mixed quantum state

$$\begin{aligned} |\rho(T)\rangle &\approx 1 \cdot |w_0[R(T)]\rangle + \sum_{n \ge 1} e^{\int_0^T dt \left(w_n - (\bar{w}_n | \frac{d}{dt} | w_n)\right)} \cdot c_n(0) \cdot |w_n[R(T)]\rangle \\ &= 1 \cdot |w_0[R(0)]\rangle + \sum_{n \ge 1} e^{\int_0^T dt \, w_n - \int_C dR A_n[R]} \cdot c_n(0) \cdot |w_n[R(0)]\rangle \\ & \text{parametric} \qquad \text{parametric} \\ & \text{stationary} \qquad \text{parametric} \end{aligned}$$

- **Eigenvalues**  $\Rightarrow$  nongeometric factor  $e^{\int_0^T dt w_n} \Rightarrow decay + oscillation$
- **Eigenvectors**  $\Rightarrow$  geometric factor  $e^{-\int_{\mathcal{C}} dR A_n[R]}$  with **Berry-Simon type connection**

$$A_n[R] := (\bar{w}_n | \nabla_R | w_n)$$

In arbitrary gauge:

$$A_n \rightarrow A_{n,g} = A_n + \frac{1}{g_n} \nabla_R g_n$$

(math) Eigenspace decoupling = adiabatic approximation (physics)

#### •• End of story ?

Driving slower than *rate* of exchange with invironment

 $\dot{R} \ll \Gamma$ 

$$|\rho(T)\rangle = \underset{\uparrow}{1} \cdot |w_0[R(0)]\rangle$$

**No** mixed steady-state **Berry-Simon phase** of driven open system !

 $\Rightarrow$  Fundamental reason: probability conservation ! Plücker et al. [30]

Assumptions:

 $\Rightarrow$ 

- No memory (Born-Markov)
- Frozen parameter approximation
- Unique stationary state

#### •• Where is the Berry-Simon phase ?

$$|\rho(T)\rangle = 1 \cdot |w_0[R(0)]\rangle + \sum_{n \ge 1} e^{\int_0^T \mathrm{d}t \, w_n - \int_{\mathcal{C}} \mathrm{d}R A_n[R]} \cdot c_n(0) \cdot |w_n[R(0)]\rangle$$

$$\uparrow$$

**Berry-Simon phase** located in parametrically **nonstationary** part of mixed **state** !

Berry-Simon phase will be "revived" tomorrow in Part IV  $\rightarrow$  p. 61

# •• Guiding questions

1. Fiber bundle? Total physical space

 $(R, g_n) = \begin{pmatrix} \text{driving} & \text{nonstationary mode} \\ \text{parameter} & |w_n\rangle \text{ normalization} \end{pmatrix}$ 

2. Connection? Broken physical solution curve

$$g_n(T) = e^{-\int_0^T \mathrm{d}t \, \dot{R} \cdot A_n} \cdot g_n(0) \neq 1 \cdot g_n(0)$$

= **unavoidable extra decay** due to cyclic driving

"Horizontal lift" : **Try to** "gauge away"  $A_n$  from solution

$$\dot{R} \cdot A_{n,g}[R] = A_n \cdot \dot{R} + \frac{1}{g_n} \cdot \dot{g}_n \stackrel{?}{=} 0$$

= maintain **zero extra decay** 

Curvature? Nonzero value of

$$B_n = \nabla \times A_n = (\nabla_R \bar{w}_n | \times |\nabla_R w_n)$$

measures failure to eliminate extra decay



$$\dot{R} \cdot A_{n,g}[R] = \dot{R} \cdot A_n + \frac{1}{g_n} \dot{R} \cdot \nabla_R g_n = \dot{R} \cdot A_n + \frac{1}{g_n} \dot{g}_n$$

#### •• Probing an open system – charge measurements



Gauge freedom emerges in periodically driven transport

$$\langle \hat{N} \rangle(t) \rightarrow \langle \hat{N} \rangle(t) + g[R(t)]$$
 FCS  
 $\langle \hat{I}_N \rangle \rightarrow \langle \hat{I}_N \rangle + \frac{\mathrm{d}}{\mathrm{d}t} g[R(t)]$  AR

(Rough spoiler):	Transported <b>charge</b> = geometric <b>phase</b>
	Transport $current = geometric connection$

# **II** Adiabatic-response in transport

$$\Delta N = \int_0^T \mathrm{d}t \, \langle \hat{I}_N \rangle \Big( R(t), \dot{R}(t), \dots \Big) \approx \int_0^T \mathrm{d}t \, I_N[R(t)] + \int_0^T \mathrm{d}t \, \dot{R}(t) \cdot A[R(t)] \\ = \int_0^T \mathrm{d}t \, I_N[R(t)] + \int_{\mathcal{C}} \mathrm{d}R \, A[R]$$

Pumping: even there if  $I_N[R] = 0$  !

$$I_N[R] := \langle \hat{I}_N \rangle \Big|_{\dot{R}=0,...}$$
 adiabatic current:  
"frozen parameters"  $A[R] := \frac{\delta \langle \hat{I}_N \rangle}{\delta \dot{R}} \Big|_{\dot{R}=0,...}$  adiabatic response = nonadiabatic current

 $egin{aligned} \mathbf{Pumping\ current} &= \mathbf{geometric}\ because\ ext{it\ is\ nonadiabatic}\ & & \downarrow\ & & \delta\langle \hat{I}_N 
angle(t)\ &:=\ \dot{R}(t) \cdot A[R(t)] \end{aligned}$ 

It is a correction relative to parametric **stationary** state

# 4 Adiabatic-response approach to pumping

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho) = W|\rho), \qquad \qquad \left\langle \hat{I}_N \right\rangle = \frac{\mathrm{d}}{\mathrm{d}t} \langle \hat{N} \rangle = (\mathbb{1}|W_{I_N}|\rho) = \operatorname{tr}(W_{I_N}\rho)$$

1. Expand around **adiabatic** stationary state = parametric zero-mode

 $W[R] \cdot |w_0[R]) = 0$ 

2. Solve **nonadiabatic** correction  $\propto \dot{R} \ll \Gamma \sim W$  (relaxation rate)

$$|
ho
angle \approx |w_0
angle + \frac{1}{W} \cdot \frac{\mathrm{d}}{\mathrm{d}t} |w_0
angle = |w_0
angle + \frac{1}{W} \cdot \dot{R} \nabla_R |w_0
angle$$

Problem solved:

$$\Delta N = \int_0^T \mathrm{d}t I_N[R(t)] + \int_{\mathcal{C}} \mathrm{d}R A[R]$$

$$I_N[R] := (\mathbb{1}|W_{I_N}|w_0) \qquad A[R] := (\mathbb{1}|W_{I_N}\frac{1}{W}\nabla_R|w_0)$$

Landsberg [10],[11], Berry, Robbins [5], Splettstoesser et. al [27], Avron et. al [3],[2],[1]

1. Expand around adiabatic = parametric stationary state

$$|\rho(t)\rangle \approx |w_0[R(t)]\rangle + |\rho^n(t)\rangle$$
  
 $\uparrow$   
 $\propto \dot{R}$ 

 $\Rightarrow$  linearize in driving velocity  $\dot{R}$ 

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho) = \frac{\mathrm{d}}{\mathrm{d}t}|w_0[R(t)]) + \frac{\mathrm{d}}{\mathrm{d}t}|\rho'(t)\rangle \stackrel{!}{=} W[R(t)]|w_0[R(t)]) + W|\rho^n(t)\rangle = W|\rho(t)\rangle$$

$$\uparrow$$
=0 stationary!

2. Solve for nonadiabatic correction

$$|
ho^n)~pprox~rac{1}{W}\cdotrac{\mathrm{d}}{\mathrm{d}t}|w_0)$$

#### •• Pumping curvature (1)

$$\Delta N = \int_0^T \mathrm{d}t I_N[R(t)] + \int_{\mathcal{C}} \mathrm{d}RA$$
  
$$\stackrel{\text{Stokes}}{=} \int_0^T \mathrm{d}t I_N[R(t)] + \int_{\mathcal{S}} \mathrm{d}SB,$$



• Pumping curvature

$$B = \nabla_R \times A$$

= pumped charge per unit area as  $\mathcal{S} \rightarrow 0$ 

### • Geometric protection:

Small driving amplitude  $\Rightarrow$  small cycle  $\mathcal{C}$  around working point  $\overline{R}$ 

$$\Delta N \approx T \cdot I_N[\bar{R}] + S \cdot B(\bar{R})$$

 $\Rightarrow$  Pumped charge depends only on geometric area S of driving curve (not it's *shape*)

## •• Pumping curvature (2)

# **Pumping connection** / **curvature**:

$$A = (\Phi_N | \nabla_R | \boldsymbol{w_0}), \qquad B = (\nabla_R \Phi_N | \times | \nabla_R \boldsymbol{w_0})$$

- $|\nabla_R w_0| = \text{sensitivity to parameter change of parametric stationary state}$
- $(\nabla_R \Phi_N) = \text{sensitivity of response (super) covector for charge Calvo et. al [7]}$

$$(\Phi_N) = (\mathbb{1}|W_{I_N} \cdot \frac{1}{W} \sim \text{current} \times \text{relaxation time}$$
  
~ charge transported during relaxation

How can *observable* pick up geometric phase?

# **5** Landsberg's geometric phase



# Gauge freedom

= **physical recalibration** of charge meter in parametrically **time-dependent** way:

$$\hat{N} \rightarrow \hat{N}_g = \hat{N} + g[R] \mathbb{1}$$

• **Pumping current** is **altered** at all times

$$\delta \langle \hat{I}_{N_g} \rangle = \frac{\delta \langle \hat{I}_{N_g} \rangle}{\delta \dot{R}} \bigg|_{\dot{R}=0} \dot{R} = A_g \cdot \dot{R}, \qquad A_g = A + \nabla_R g$$

• but periodically **pumped charge** is **gauge invariant** 

$$\Delta N \stackrel{!}{=} \int_0^T \mathrm{d}t I_N[R(t)] + \int_{\mathcal{C}} \mathrm{d}R A_g[R] \stackrel{!}{=} \Delta N_g$$

•• Physical origin of geometric pumping phase

"You can time-dependently mess around all you like with the scale of a charge meter, as long as you periodically return it to measure the correct pumped charge."

#### •• Gauge-covariant transport theory (Skip)

Covariant transport equation: [30]

$$\langle \hat{I}_{N_g} \rangle = \frac{\mathrm{d}}{\mathrm{d}t} \langle \hat{N}_g \rangle = (\mathbb{1} |W_{I_{N_g}}| \rho)$$

$$\hat{N} \rightarrow \hat{N}_g = \hat{N} + g \mathbb{1}$$
 (reservoir)  $W_{I_N} \rightarrow W_{I_{N_g}} = W_{I_N} + \frac{\mathrm{d}}{\mathrm{d}t}g \mathbb{I}$  (system)

Two requirements:

1. Keep explicit time-dependence

$$\hat{I}_N = i \left[ \hat{H}^{\text{tot}}, \hat{N} \right] + \frac{\partial \hat{N}}{\partial t}$$

2. Normal order current with respect to environment

$$\hat{I}_N = \langle \hat{I}_N \rangle^{\rm res} + : \hat{I}_N :$$

- $\langle \hat{I}_N \rangle^{\text{res}} =$  "average" = time-local terms  $\rightarrow$  gauge freedom: **exact**
- :  $\hat{I}_N$ : = "fluctuation" = time-nonlocal terms  $\rightarrow$  memory: approximations

## •• Guiding questions

1. Fiber bundle? Total physical space

$$(R, g) = \begin{pmatrix} \text{driving} & \hat{N}\text{-meter} \\ \text{parameter} & \text{calibration} \end{pmatrix}$$
 $\uparrow$ 
literal gauge !!!

2. Connection? Broken physical solution curve

$$g(T) - g(0) = -\int_0^T \mathrm{d}t \, \dot{R} \, A[R] = \left[ -\int_0^T \mathrm{d}t \, \delta \langle \hat{I}_N \rangle \right]$$

 $\Rightarrow$  **Unavoidable pumped charge** in cyclic dynamics

"Horizontal lift" = **Try to** gauge away A from solution:

$$\dot{R}A_g = A\dot{R} + \dot{g} = \delta \langle \hat{I}_{N_g} \rangle \stackrel{?}{=} 0$$

= recalibrate meter to show **zero pumping current** 

 $\mathbf{Curvature} = \text{pumped charge} \ / \ \text{driving area}$ 

$$\Delta N \stackrel{\mathcal{S}\to 0}{\approx} T \cdot I_N[\bar{R}] + \mathcal{S} \cdot B(\bar{R})$$



Different physics, but related gauge groups:

• Addition of charge contributions

$$g(T) = -\int_{0}^{T} dt \, \dot{R} A + g(0) \quad \text{Landsberg}$$
$$\dot{R} A_{g} = A \dot{R} + \dot{g}$$

• Multiplication of phase factors

$$g_n(T) = e^{-\int_0^T \mathrm{d}t \, \dot{R} \, A_n} \cdot g_n(0) \quad \text{Berry-Simon}$$
$$\dot{R} \cdot A_{n,g} = A_n \cdot \dot{R} + \frac{1}{g_n} \cdot \dot{g}_n$$

 $\Rightarrow$  Part III+IV  $\rightarrow$  p. 64: Berry-Simon phase of FCS generating operator ( $\neq$  state!)

 $\begin{array}{rcl} \text{Landsberg} \\ & & \downarrow \\ \text{Berry-Simon} & \longrightarrow & e^{i\chi g(T)} & = & e^{-i\chi \int_0^T \mathrm{d}t \, \dot{R} \, A} \cdot e^{i\chi g(0)} \end{array}$
•• Pumped charge = Landsberg phase

Pumped charge = Landsberg geometric phase of observable

 $\neq$  **Berry-Simon** phase of the **state** 

# 6 Questions

Advantages:

1. Calculations simple

$$B = (1) \nabla_R \left( W_{I_N} \cdot \frac{1}{W} \right) \times \nabla_R |w_0)$$

2. **Physics** clear

$$\Delta N \stackrel{\mathcal{S} \to 0}{\approx} \dots + \mathcal{S} \cdot B$$

3. **Geometry** clear:

$$\begin{array}{lll} \begin{array}{lll} \text{meter} \\ \text{calibration} \end{array} & \hat{N} \rightarrow \ \hat{N}_g \ = \ \hat{N} + g & \begin{array}{l} \text{gauge} \\ \text{transformation} \end{array} \end{array}$$
$$\begin{array}{lll} \text{pumping} \\ \text{current} \end{array} & \delta \langle \hat{I}_{N_g} \rangle \ = \ A \cdot \dot{R} + \dot{g} & \begin{array}{l} \text{geometric} \\ \text{connection} \end{array} \end{array}$$

.... but **"alien" to closed system** formulations ...

Berry-Simon approach **analogous** to **closed-systems** ?

# QUESTIONS

$\mathbf{AR}$	FCS
Nonadiabatic $\hat{\rho}(t)$	"Adiabatic" $\hat{\rho}^{\chi}(t)$
Stationary $\hat{\rho}(\infty) = \hat{w}_0$	Nonstationary $\hat{\rho}^{\chi}(t)$
Landsberg phase $\hat{N}$	"Berry-Simon" phase $\hat{\rho}^{\chi}(t)$

# **Z** Example: pumping curvature generated by interaction



- **Gauge away** time-dependent capacitive screening charges !
- **Tunnel rate** of electrons / holes  $\propto f^{\pm}(x) = (e^{\pm x} + 1)^{-1}$  through junction r = L, R

$$\begin{cases} W_{10}^r = \Gamma^r f^+([\varepsilon - \mu^r]/T), & W_{21}^r = 2\Gamma^r f^+([\varepsilon + U - \mu^r]/T) & \text{(tunnel in)} \\ W_{01}^r = 2\Gamma^r f^-([\varepsilon - \mu^r]/T), & W_{12}^r = \Gamma^r f^-([\varepsilon + U - \mu^r]/T) & \text{(tunnel out)} \end{cases}$$

• Strong Coulomb **interaction** on system

# •• Explicit pumping curvature (1)

$$B = 4 \frac{W_{10}^R + W_{12}^R}{(W_{10} + W_{12})^3} \cdot (\nabla_R W_{10}) \times (\nabla_R W_{12}) + 2 \left[ \nabla_R \frac{W_{10}^R + W_{12}^R}{(W_{10} + W_{12})^3} \right] \cdot \left[ W_{10} (\nabla_R W_{12}) - (\nabla_R W_{10}) W_{12} \right]$$

with 
$$W_{nn'} := \sum_r W_{nn'}^r$$

• Geometric spectroscopy

$$B[R] \neq 0 \quad \begin{array}{c} \text{at crossing} \\ points \ R = (\varepsilon, V) \end{array}$$

• Probe new details

sign 
$$B \mid_{V=U} \longleftrightarrow \begin{cases} \Gamma^L > \Gamma^R \\ \Gamma^L < \Gamma^R \end{cases}$$





• Interaction generates curvature for fixed couplings  $\{\Gamma^r\}$ 

$$B[R] \left|_{\boldsymbol{U}=\boldsymbol{0}} = 0 \quad any \ R = (\varepsilon, V) \right|_{\boldsymbol{U}=\boldsymbol{0}} = 0$$

### •• Adiabatic-response equations (Skip)

• State  $|\rho\rangle \sim p_n = \text{occupation } n = 0, 1, 2 \text{ charge state} + \text{rates } W_{n,n'} = W_{n,n'}^L + W_{n,n'}^R$ 

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho) = W|\rho) \longrightarrow \frac{\mathrm{d}}{\mathrm{d}t}\begin{bmatrix} p_0\\ p_1\\ p_2 \end{bmatrix} = \begin{bmatrix} -W_{10} & W_{01} & 0\\ W_{10} & -W_{01} - W_{21} & W_{12}\\ 0 & W_{21} & -W_{12} \end{bmatrix} \cdot \begin{bmatrix} p_0\\ p_1\\ p_2 \end{bmatrix}$$

• Charge current  $\langle I_N \rangle$  into electrode r = - current out of system via junction r

$$\langle I_N \rangle = (\mathbb{1}|W_{I_N}|\rho) = -(N|W^r|\rho) = [0 \ 1 \ 2] \cdot \begin{bmatrix} -W_{10}^r & W_{01}^r & 0\\ W_{10}^r & -W_{01}^r - W_{21}^r & W_{12}^r\\ 0 & W_{21}^r & -W_{12}^r \end{bmatrix} \cdot \begin{bmatrix} p_0\\ p_1\\ p_2 \end{bmatrix}$$

Relevant memory-kernel eigenvalue =  $charge \ decay \ rate$ 

$$w_1^r = -\frac{1}{2}(W_{10}^r + W_{12}^r)$$
  $w_1 = \sum_r w_1^r = -\frac{1}{2}(W_{10} + W_{12})$ 

$$A = -(N|W^{r}\frac{1}{W}\nabla_{R}|w_{0}) = \frac{w_{1}^{r}}{2w_{1}^{3}} \Big[ W_{10}(\nabla_{R}W_{12}) - (\nabla_{R}W_{10})W_{12} \Big]$$

## •• Explicit pumping curvature (2)

With real external magnetic field: Calvo, Classen et. al [7]

Charge pumping



**Spin** pumping

### •• Explicit pumping curvature (3)

With real external magnetic field: Riwar et. al [18]



# III Full counting statistics

**Charge** N measured in reservoir r **outside** system

FCS in a nutshell: Bagrets, Nazarov [4], Esposito, Harbola, Mukamel [8]

1. Charge moment  $\Leftarrow$  generating function  $Z^{\chi}$ 

$$\Delta N(t) = \langle \hat{N}(t) - \hat{N}(0) \rangle = \partial_{i\chi} Z^{\chi}(t) \Big|_{\chi=0}$$

2. Generating function  $Z^{\chi} \Leftarrow$  trace of generating operator  $\rho^{\chi}$ 

$$Z^{\chi}(t) = (1|\rho^{\chi}(t)) = \operatorname{tr} \rho^{\chi}(t)$$

3. Generating operator  $\rho^{\chi} \Leftarrow$  "FCS master-equation"

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho^{\chi}\rangle = W^{\chi}|\rho^{\chi}\rangle, \qquad |\rho^{\chi}(0)\rangle = |\rho(0)\rangle \quad \begin{array}{l} \text{quantum state as}\\ \text{initial condition} \end{array}$$
$$|\rho^{\chi}(t)\rangle|_{\chi=0} = |\rho(t)\rangle \quad \begin{array}{l} \text{quantum state}\\ \text{included in } \chi=0 \end{array}$$



Full counting statistics (FCS)

### •• Example: cooking up FCS (Skip)

• Quantum state master equation (see  $\rightarrow$  p. 41)

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho) = W|\rho) \longrightarrow \frac{\mathrm{d}}{\mathrm{d}t}\begin{bmatrix} p_0\\ p_1\\ p_2 \end{bmatrix} = \begin{bmatrix} -W_{10} & W_{01} & 0\\ W_{10} & -W_{01} - W_{21} & W_{12}\\ 0 & W_{21} & -W_{12} \end{bmatrix} \cdot \begin{bmatrix} p_0\\ p_1\\ p_2 \end{bmatrix}$$

• Insert "counting factor"  $e^{\pm i\chi}$  if dot charge increases / decreases due to coupling to R

$$W_{n,n'}^{\pm \chi} := \begin{cases} W_{n,n'}^L + e^{+i\chi} W_{n,n'}^R & n > n' : \text{ enter from reservoir } R \\ \\ W_{n,n'}^L + e^{-i\chi} W_{n,n'}^R & n < n' : \text{ exit to reservoir } R \end{cases}$$

• FCS "master equation"

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho^{\chi}) = W^{\chi}|\rho^{\chi} \longrightarrow \frac{\mathrm{d}}{\mathrm{d}t}\begin{bmatrix} p_{0}^{\chi} \\ p_{1}^{\chi} \\ p_{2}^{\chi} \end{bmatrix} = \begin{bmatrix} -W_{10} & W_{01}^{-\chi} & 0 \\ W_{10}^{\chi} & -W_{01} - W_{21} & W_{12}^{-\chi} \\ 0 & W_{21}^{\chi} & -W_{12} \end{bmatrix} \cdot \begin{bmatrix} p_{0}^{\chi} \\ p_{1}^{\chi} \\ p_{2}^{\chi} \end{bmatrix}$$

# 8 Sinitsyn's geometric approach to FCS

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho^{\chi}\rangle = W^{\chi}|\rho^{\chi}\rangle, \qquad |\rho^{\chi}(0)\rangle = |\rho(0)\rangle$$

Pumping: parametric  $W^{\chi}(t) = W^{\chi}[R(t)]$  Sinitsyn, Nemenmann [26], [25], [23], [24]

$$|\rho^{\chi}(T)\rangle = ?$$

## •• "Adiabatic" approximation

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho^{\chi}\rangle = W^{\chi}|\rho^{\chi}\rangle, \qquad |\rho^{\chi}(0)\rangle = |\rho(0)\rangle$$

# 1. Parametric solutions

$$(\bar{w}_n^{\chi}|W^{\chi} = (\bar{w}_n^{\chi}|w_n^{\chi}, \quad \longleftrightarrow \quad W^{\chi}|w_n^{\chi}) = w_n^{\chi}|w_n^{\chi})$$

Slowest decaying mode n = 0

$$0 < -\operatorname{Re} w_0^{\chi} < -\operatorname{Re} w_n^{\chi} \text{ for } n \ge 1$$

includes normalization + steady state

$$\left.\left.\left(\bar{w}_{0}^{\chi}\right|\bullet\right|_{\chi=0} = \left.\left(\mathbb{1}\right|\bullet = \operatorname{tr}\bullet, \quad \left|w_{0}^{\chi}\right)\right|_{\chi=0} = 0, \quad \left|w_{0}^{\chi}\right)\right|_{\chi=0} = \left|w_{0}\right| \operatorname{stationary}_{\text{state}}$$

2. Gauge freedom

$$(\bar{w}_n^{\chi}| \rightarrow (\bar{w}_n^{\chi}| \cdot \frac{1}{g_n^{\chi}}, \quad \longleftrightarrow \quad |w_n^{\chi}) \rightarrow g_n^{\chi} \cdot |w_n^{\chi}), \quad g_n^{\chi} \neq 0$$

3. Eigenspace decoupling for initially nonstationary state

$$c_n^{\chi}(0) = (\bar{w}_n^{\chi} | \rho(0)) \qquad | \rho^{\chi}(t) ) = c_0^{\chi}(t) \cdot | w_0^{\chi}(t) ) + \sum_{n \ge 1} c_n(t) \cdot | w_n^{\chi}(t) )$$

## •• "Adiabatic" generating operator - Not the end of story !

Slow driving:

$$\dot{R} \ll \Gamma$$

**Nonzero** Berry-Simon phase for  $\chi \neq 0$ 

$$|\rho^{\chi}(T)) \approx e^{\int_0^T dt \left( w_0^{\chi} - (\bar{w}_0^{\chi}|_{\frac{d}{dt}} | w_0^{\chi}) \right)} \cdot c_0^{\chi}(0) \cdot |w_0^{\chi}[R(0)] ) \qquad \leftarrow \begin{array}{l} \text{slowest decay mode} \\ \text{(nonstationary)} \end{array}$$

$$+ \sum_{n \ge 1} e^{\int_0^T \mathrm{d}t \left( w_n^{\chi} - (\bar{w}_n^{\chi}|\frac{\mathrm{d}}{\mathrm{d}t}|w_n^{\chi}) \right)} \cdot c_n^{\chi}(0) \cdot |w_n^{\chi}[R(0)]) \leftarrow \qquad \begin{array}{c} \text{neglect in} \\ \text{steady state !} \end{array}$$

Since we are **not** considering a quantum **state**:

(*math*) Eigenspace decoupling  $\Rightarrow$  **non**diabatic approximation (*physics*)

### •• "Adiabatic" *steady-state* generating function

After short transient<sup>3</sup>

$$|\rho^{\chi}(T)\rangle \approx Z^{\chi} \cdot |\rho^{\chi}(0)\rangle,$$
  
 $\uparrow$ 
  
changed initial

changed initial condition ! Nakajima et. al [14]  $|\rho^{\chi}(0)\rangle = c_0^{\chi} \cdot |w_0^{\chi}[R(0)]\rangle \neq |\rho(0)\rangle$ 

**Steady state** generating function:

$$Z^{\chi} := e^{\int_0^T \mathrm{d}t \, w_0^{\chi}[R(t)] - \int_{\mathcal{C}} \mathrm{d}R A^{\chi}[R]}$$

- **Eigenvalues**  $\Rightarrow$  nongeometric = time-averaged FCS
- **Eigenvectors**  $\Rightarrow$  geometric = pumping part with **Berry-Simon type connection**

$$A^{\chi} := (\bar{w}_0^{\chi} | \nabla_R | w_0^{\chi}) \rightarrow A_{g^{\chi}}^{\chi} = A^{\chi} + \frac{1}{g^{\chi}} \nabla_R g^{\chi}$$

3.  $(1|\rho^{\chi}(T)) = Z^{\chi}(T) \cdot (1|\rho^{\chi}(0))$  in contrast to  $(1|\rho^{\chi}(T)) = Z^{\chi}(T) \cdot 1$ 

### •• Pumped charge = Berry-Simon phase ?

Not really...

$$\Delta N = \partial_{i\chi} Z^{\chi} \Big|_{\chi=0}$$
  
=  $\partial_{i\chi} \left\{ \int_{0}^{T} \mathrm{d}t w_{0}^{\chi} - \int_{\mathcal{C}} \mathrm{d}R A_{g^{\chi}}^{\chi} \right\} \Big|_{\chi=0} \overset{\text{Stokes}}{=} \partial_{i\chi} \left\{ \int_{0}^{T} \mathrm{d}t w_{0}^{\chi} - \int_{\mathcal{S}} \mathrm{d}S B^{\chi} \right\} \Big|_{\chi=0}$ 

- **Continuum** of contributions ?
- Phase gradient  $\partial_{i\chi}$  at  $\chi = 0$ ?

Pumped charge  $\neq$  single Berry-Simon phase

### •• Nonadiabatic current – included but "fragmented"

$$\begin{array}{rcl} \text{adiabatic!} & & & & \downarrow \\ w_0^{\chi} & = & 0 + i\chi \stackrel{\downarrow}{I_N} & & \\ & & & \downarrow \end{array} \\ (\bar{w}_0| & = & (\mathbb{1}|-i\chi (\Phi_N|+\dots \Rightarrow \boxed{\frac{d}{dt}|\rho^{\chi}}) = & W^{\chi}|\rho^{\chi}), & \downarrow \leftarrow & |\rho^{\chi}) = & e^{i\chi\Delta N} \underbrace{[|w_0\rangle + O(\chi)]}_{\uparrow} \\ & & & \uparrow \end{array}$$
nonadiabatic drops out

Eigenspace decoupling + collect powers of  $O(1) + O(\chi)$ :

$$\frac{\mathrm{d}}{\mathrm{d}t}\Delta N = I_N + \left(\Phi_N | \frac{\mathrm{d}}{\mathrm{d}t} | w_0\right) = I_N + \frac{\dot{R}}{\uparrow} \left(\Phi_N | \nabla_R | w_0\right) = \mathrm{AR \ current} \ !$$
nonadiabatic current !

$$\rightarrow$$
 p. 30  $(\Phi_N) = (\mathbb{1}|W_{I_N} \cdot \frac{1}{W} \sim \text{current} \times \text{finite relaxation time})$ 

"Adiabatic" FCS = in fact **non**adiabatic !

### •• Current memory kernel in FCS (Skip)

FCS memory kernel "knows about" **current** of AR approach:

 $W^{\chi} = W + i \chi W_{I_N} + \dots$ 

 $\Rightarrow$  Perturbation theory in the phase  $\chi$   $^4$ 

<sup>4.</sup> Adiabatic current  $I_N = (1|W_{I_N}|w_0) = \text{matrix element perturbation in unperturbed basis}$ 

### • Example $W^{\chi} = W + i \chi W_{I_N} + \dots$ (Skip)

FCS "master equation":  $W_{n,n'}^{\pm} = W_{n,n'} \pm i \chi W_{n,n'}^{R} + \dots$ 

$$\begin{bmatrix} \frac{\mathrm{d}}{\mathrm{d}t} | \rho^{\chi} \end{pmatrix} = W^{\chi} | \rho^{\chi} \end{bmatrix} \longrightarrow \begin{bmatrix} \frac{\mathrm{d}}{\mathrm{d}t} \begin{bmatrix} p_0 \\ p_1 \\ p_2 \end{bmatrix} = \begin{bmatrix} -W_{10} & W_{01}^{-\chi} & 0 \\ W_{10}^{\chi} & -W_{01} - W_{21} & W_{12}^{-\chi} \\ 0 & W_{21}^{\chi} & -W_{12} \end{bmatrix} \cdot \begin{bmatrix} p_0 \\ p_1 \\ p_2 \end{bmatrix}$$

• O(1): **state**-evolution memory kernel

$$W = \begin{bmatrix} -W_{10} & W_{01} & 0\\ W_{10} & -W_{01} - W_{21} & W_{12}\\ 0 & W_{21} & -W_{12} \end{bmatrix}$$

•  $O(\chi)$ : current memory kernel up to a irrelevant term Plücker et al. [30] with  $(\mathbb{1}|\Theta|\rho) = 0$  for any  $\rho$ )

$$W_{I_N} + \Theta = \begin{bmatrix} 0 & -W_{01}^R & 0 \\ +W_{10}^R & 0 & -W_{12}^R \\ 0 & +W_{21}^R & 0 \end{bmatrix} \leftarrow \text{exit to lead } R$$
$$\uparrow$$
enter from lead  $R$ 

# •• Guiding questions

1. Fiber bundle? Total space

 $(R, g^{\chi}) = \begin{pmatrix} \text{driving} & \text{slowest-mode} \\ \text{parameter} & |w_0^{\chi}| \text{ normalization} \end{pmatrix}$ 

2. Connection? Broken physical solution curve

$$g^{\chi}(T) = e^{-\int_0^T \mathrm{d}t \, \dot{R}(t) \cdot A^{\chi}[R(t)]} \cdot g^{\chi}(0) \propto Z^{\chi}(T)$$

 $\Rightarrow$  Unavoidable effects on the entire transport process due to cyclic driving

"Horizontal lift" = **Try to** "gauge away"  $A^{\chi}$ :

$$\dot{R} \cdot A_{g^{\chi}}^{\chi} = \dot{R} \cdot A^{\chi} + \frac{1}{g^{\chi}} \cdot \dot{g}^{\chi} = \boxed{\frac{\mathrm{d}}{\mathrm{d}t} (\ln Z^{\chi} + \dots)} \stackrel{?}{=} 0$$

= "recalibrate all meters" of entire transport process to register zero pumping: average  $\Delta N$ , noise  $\Delta N^2$ , ...



### •• FCS curvature (Skip)

2. Curvature?

= **breakage** of "horizontal lift" curves

$$B^{\chi} = \nabla_R \times A^{\chi} = (\nabla_R \bar{w}_0^{\chi}) \times |\nabla_R w_0^{\chi})$$

For infinitesimal curve  $\mathcal{C}$  around  $\overline{R}$  enclosing area  $\mathcal{S} \to 0$ 

$$Z^{\chi} = e^{\int_0^T \mathrm{d}t \, w_0^{\chi} - \int_{\mathcal{C}} \mathrm{d}R A^{\chi}} \overset{\text{Stokes}}{\approx} Z^{\chi}[\bar{R}] \cdot \left(1 - \mathcal{S} \cdot B^{\chi}(\bar{R})\right)$$

generating function for average parameter  $\bar{R}$ 



 $\mathcal{C}$ 

 $\bar{R}$ 

# 9 Questions

Advantages:

1. Entire transport process described

$$\left\langle \mathcal{T}\left(\hat{N}(t) - \hat{N}(0)\right)^{k} \right\rangle = \left(\partial_{i\chi}\right)^{k} Z^{\chi} \Big|_{\chi=0}$$

2. Formal analogy to closed systems

$$|\rho^{\chi}(T)) \approx e^{\int_0^T \mathrm{d}t \, w_0^{\chi} - \int_{\mathcal{C}} \mathrm{d}R A^{\chi}} \cdot |\rho^{\chi}(0))$$

.... but **physical** questions remain ...

Adiabatic mixed-state evolution of a physical system ?

# IV Adiabatic state evolution

Part IV = Part I + meter = Part II (AR)

= Part III (FCS)

Two steps:

1. Ideal charge **meter** Levitov [12], Schaller, Kiesslich, Brandes [21]

> $\hat{N} :=$  charge indicated by **meter** (not on reservoir as before)

2. Adiabatic state evolution Sarandy, Lidar [19], [20]



### •• Step 1: Coupling system + meter - Hamiltonian

Model (AR, FCS)

$$\hat{H}^{\text{tot}} = \hat{H} + \hat{H}^{\text{R}} + \sum_{n} \hat{V}^{-n}$$

 $\hat{V}^n =$  part of coupling V transferring n charges to **specific** reservoir



**Extended model**: ideal meter with meter states  $\{|n\rangle\}$  and no dynamics

$$\hat{H}^{\text{tot'}} = \hat{H} + \hat{H}^{\text{R}} + \mathbf{0} + \sum_{n} \hat{V}^{-n} \otimes e^{-i\hat{\chi}n}$$

• Charge translation on meter  $\Leftarrow$  **phase operator**  $\hat{\chi}$ 

$$e^{-i\hat{\chi}n}|m
angle = |m+n
angle$$

• Initial meter state = pure state  $|0\rangle$ 

### •• Step 1: Coupling system + meter – memory kernel

**System** + **meter** mixed state  $\rho'$ 

$$\frac{\mathrm{d}}{\mathrm{d}t}|\rho'\rangle = W'|\rho'\rangle$$
$$W' = \sum_{n} W^{-n} \otimes e^{-iL_{\hat{\chi}}n} = \int_{-\pi}^{\pi} \frac{\mathrm{d}\chi}{2\pi} W^{\chi} \otimes |\chi\rangle(\chi)$$

• Charge-translation  $\Leftarrow$  **phase superoperator**  $L_{\hat{\chi}} := \hat{\chi} \bullet - \bullet \hat{\chi}$ 

$$e^{-iL_{\hat{\chi}}n}|m\rangle\langle m| = e^{-i\hat{\chi}n}|m\rangle\langle m|e^{i\hat{\chi}n} = |m+n\rangle\langle m+n|$$

•  $\Rightarrow$  Fourier transform to **phase eigenmode** 

$$|\chi\rangle = \sum_{n=-\infty}^{\infty} e^{i\chi n} |n\rangle \langle n|, \qquad L_{\hat{\chi}}|\chi\rangle = \chi|\chi\rangle,$$

"plane waves in charge space"  $|k\rangle \propto \int {\rm d}x \, e^{i\,k\,x} |x\rangle$ 

•  $\Rightarrow$  Fourier transform to **FCS memory kernel** !

$$W^{\chi} = \sum_{n} e^{in\chi} W^{n}$$

# •• Step 1: Coupling system + meter – mixed state

**System** + **meter** mixed state  $\rho'$ 

$$|\rho'\rangle = \sum_{n=-\infty}^{\infty} |\rho^{-n}\rangle \otimes |n\rangle \langle n| = \int_{-\pi}^{\pi} \frac{\mathrm{d}\chi}{2\pi} |\rho^{\chi}\rangle \otimes |\chi\rangle |$$
  
$$! \mathbf{FCS} \quad |\rho^{\chi}\rangle = \sum_{n} e^{in\chi} |\rho^{n}\rangle |$$

"wave function of the meter"  
$$|\psi\rangle \propto \int \mathrm{d}x \,\psi(x) \,|x\rangle = \int \mathrm{d}k \,\psi_k \,|k\rangle$$

#### •• Step 2: Adiabatic state evolution system + meter

Adiabatic system + meter mixed state  $\rho'$  Sarandy, Lidar [19], [20]

$$|\rho'(T)\rangle = \int_{-\pi}^{\pi} \frac{\mathrm{d}\chi}{2\pi} |\rho^{\chi}(T)\rangle \otimes |\chi\rangle$$

"Adiabatic" FCS 
$$|\rho^{\chi}(T)\rangle = Z^{\chi} \cdot |\rho^{\chi}(0)\rangle$$
  
 $\uparrow$   
 $Z^{\chi} = e^{\int_{0}^{T} dt w_{0}^{\chi} - \int_{C} dRA^{\chi}}$ 

Reminder from Part I  $\rightarrow$  p. 22:

**Berry-Simon phase** in parametrically **nonstationary** part system + meter state !

### •• Step 2: Adiabatic state evolution system + meter

Adiabatic system + meter mixed state  $\rho'$  Sarandy, Lidar [19], [20]

$$|\rho'(T)\rangle = \int_{-\pi}^{\pi} \frac{\mathrm{d}\chi}{2\pi} |\rho^{\chi}(T)\rangle \otimes |\chi\rangle$$

"Adiabatic" FCS: 
$$|\rho^{\chi}(T)\rangle = Z^{\chi} \cdot |\rho^{\chi}(0)\rangle$$
  
 $\uparrow$   
 $Z^{\chi} = e^{\int_{0}^{T} dt w_{0}^{\chi} - \int_{C} dR A^{\chi}}$ 

•  $\chi \neq 0$ : Charge meter keeps running

FCS  $|\rho^{\chi}(T)\rangle \sim \text{nonstationary} \Rightarrow \text{Berry-Simon phase} (\rightarrow p. 22)$ 

•  $\chi = 0$ : Stop the charge meter

AR 
$$|\rho^{\chi}(T)\rangle|_{\chi=0} = |\rho(T)\rangle = 1 \cdot |w_0[R(0)]\rangle$$
 stationary  $\Rightarrow$  no phase ( $\rightarrow$  p. 21)  
 $\uparrow$ 

62

probability conservation

#### • FCS: Pumped charge = Berry-Simon phase ?

$$\Delta N = \operatorname{tr}_{M} \operatorname{tr} \hat{N} \left( \hat{\rho}'(T) - \hat{\rho}'(0) \right) = \left. \frac{\partial_{i\chi}}{\uparrow} \left\{ \int_{0}^{T} \mathrm{d}t \, w_{0}^{\chi} - \int_{\mathcal{C}} \mathrm{d}R A_{g^{\chi}}^{\chi} \right\} \right|_{\chi=0} \qquad \begin{array}{c} \mathbf{FCS} \\ \mathbf{result} \end{array}$$

$$\hat{N} := \sum_{n} n |n\rangle \langle n|$$
 meter charge

• **Continuum** = **unavoidable**: one Berry-Simon phase for each meter "momentum"  $(\chi)$  !

$$|\rho'(T)\rangle = \int_{-\pi}^{\pi} \frac{\mathrm{d}\chi}{2\pi} |\rho^{\chi}(T)\rangle \otimes |\chi\rangle$$

• Phase gradient  $\partial_{i\chi}$  = unavoidable: meter charge operator in phase representation !

#### • AR: Landsberg's phase = accumulated by *observable* ?

$$|\rho'(T)\rangle = \int_{-\pi}^{\pi} \frac{\mathrm{d}\chi}{2\pi} \frac{1}{g^{\chi}} |\rho^{\chi}(T)\rangle \otimes \boxed{g^{\chi}|\chi}$$

Ideal meter

• Gauge transformations include

$$g^{\chi} = e^{i\chi g}$$

• Phase eigenmode  $\sim$  generated by conjugate observable = charge !

$$|\chi\rangle = \sum_{n} e^{i\chi n} |n\rangle \langle n| = e^{i\hat{N}\chi}$$
 "plane wave in charge space"

Gauge **meter**-part of **state** = recalibration **charge observable** cf.  $\rightarrow$  p. 31

(FCS / ASE) 
$$g^{\chi} | \chi \rangle = e^{i (\hat{N} + g) \chi}$$
 (AR)

$\mathbf{AR}$	FCS	$\mathbf{ASE} + \mathbf{meter}$
Nonadiabatic $\rho(t)$	"Adiabatic" $\rho^{\chi}(t)$	Adiabatic state $\rho'(t)$
Stationary $\rho(\infty) = w_0$	Nonstationary $\rho^{\chi}(t)$	Nonstationary $\rho'(t)$
Landsberg phase $\hat{N}$	"Berry-Simon" phase $\rho^{\chi}(t)$	Berry-Simon phases in $\rho'(t)$

# QUESTIONS

# / Summary

# Geometric pumping in open systems

- 1. **Not** "a" Berry-Simon phase:
  - Landsberg phase
  - Phase-gradient of a continuum of Berry-Simon phases
- 2. **Non**adiabatic / "lag": *Time-window* for charge response
- 3. Steady state:
  - System nearly **stationary**
  - Meter **nonstationary**
- 4. Gauge freedom = meter recalibration
  - Meter outside:  $\hat{N} \rightarrow \hat{N} + g$
  - Meter inside:  $|\chi\rangle \rightarrow e^{ig\chi}|\chi\rangle$

Measurement essential to physically understand geometric effects



"You can time-dependently mess around all you like with the scale of an  $\hat{X}$ -meter, as long as you periodically return it to measure the correct pumped value of  $\hat{X}$ ."

 $\hat{X}$  = charge, spin, energy / heat, ...

Geometry / physics = independent of coordinates

### QUESTIONS

# • Geometric / topological pumping

 $\Rightarrow$  Connections derived from driven **open-system dynamics** + **measurements** 

- Adiabatic-response: Landsberg connection
- Full counting statistics / Adiabatic state evolution + meter Berry-Simon connection of **Sinitsyn** / **Sarandy-Lidar**
- Topological classification mixed states
  - ⇒ Uhlmann connection = derived from mixed-state distance measure (QI) Martin-Delgado et. al [29], Arovas et. al [9], Budich, Diehl, [6]

# Bibliography

- J. E. Avron, M. Fraas, G. M. Graf, and P. Grech. Adiabatic theorems for generators of contracting evolutions. *Communications in Mathematical Physics*, 314(1):163–191, 2012.
- [2] J.E. Avron, M. Fraas, and G.M. Graf. Adiabatic response for lindblad dynamics. Jsp, 148(5):800–823, 2012.
- [3] J.E. Avron, M. Fraas, G.M. Graf, and O. Kenneth. Quantum response of dephasing open systems. *Njp*, 13:53042, 2011.
- [4] D. A. Bagrets and Yu. V. Nazarov. Full counting statistics of charge transfer in coulomb blockade systems. *Phys. Rev. B*, 67:85316, 2003.
- [5] M. V. Berry and J. M. Robbins. Chaotic classical and half-classical adiabatic reactions: geometric magnetism and deterministic friction. Proc. Roy. Soc., 442:659, 1993.
- [6] J. C. Budich and S. Diehl. Topology of density matrices. Phys. Rev. B, 91:165140, 2015.
- [7] H. L. Calvo, L. Classen, J. Splettstoesser, and M. R. Wegewijs. Interaction-induced charge and spin pumping through a quantum dot at finite bias. *PRB*, 86:245308, 2012.
- [8] Massimiliano Esposito, Upendra Harbola, and Shaul Mukamel. Nonequilibrium fluctuations, fluctuation theorems, and counting statistics in quantum systems. *Rev. Mod. Phys.*, 81:1665, 2009.
- [9] Zhoushen Huang and Daniel P. Arovas. Topological indices for open and thermal systems via uhlmann's phase. *Phys. Rev. Lett.*, 113:76407, 2014.
- [10] A. S. Landsberg. Geometrical phases and symmetries in dissipative systems. Phys. Rev. Lett., 69:865, 1992.
- [11] A. S. Landsberg. Spatial symmetries and geometrical phases in classical dissipative systems. Modern Physics Letters B, 07(02):71–82, 1993.
- [12] L. S. Levitov and G. B Lesovik. Charge distribution in quantum shot noise. *JETP Lett.*, 58:230, 1993.
- [13] F. Li, J. Ren, and N. A. Sinitsyn. Quantum zeno effect as a topological phase transition in full counting statistics and spin noise spectroscopy. EPL, 105:27001, 2014.
- [14] Satoshi Nakajima, Masahiko Taguchi, Toshihiro Kubo, and Yasuhiro Tokura. Interaction effect on adia-

#### BIBLIOGRAPHY

batic pump of charge and spin in quantum dot. Phys. Rev. B, 92:195420.

- [15] F. Reckermann, J. Splettstoesser, and M. R. Wegewijs. Interaction-induced adiabatic nonlinear transport. Phys. Rev. Lett., 104:226803, 2010.
- [16] Jie Ren and N. A. Sinitsyn. Braid group and topological phase transitions in nonequilibrium stochastic dynamics. Phys. Rev. E, 87:50101, 2013.
- [17] R-P. Riwar, M. Houzet, J. S. Meyer, and Yu. V. Nazarov. Multi-terminal josephson junctions as topological matter. *Nature Comm.*, 7:11167, 2016.
- [18] Roman-Pascal Riwar, Janine Splettstoesser, and Jürgen König. Zero-frequency noise in adiabatically driven interacting quantum systems. *Phys. Rev. B*, 87:195407, 2013.
- [19] M. S. Sarandy and D. A. Lidar. Adiabatic approximation in open quantum systems. Phys. Rev. A, 71:12331, 2005.
- [20] M. S. Sarandy and D. A. Lidar. Abelian and non-abelian geometric phases in adiabatic open quantum systems. *Phys. Rev. A*, 73:62101, 2006.
- [21] Gernot Schaller, Gerold Kießlich, and Tobias Brandes. Transport statistics of interacting double dot systems: coherent and non-markovian effects. *Phys. Rev. B*, 80:245107, 2009.
- [22] J. Schulenborg, R. B. Saptsov, F. Haupt, J. Splettstoesser, and M. R. Wegewijs. Fermion-parity duality and energy relaxation in interacting open systems. *Phys. Rev. B*, 93:81411, 2016.
- [23] N. A. Sinitsyn. Reversible stochastic pump currents in interacting nanoscale conductors. *Phys. Rev. B*, 76:153314, 2007.
- [24] N. A. Sinitsyn. The stochastic pump effect and geometric phases in dissipative and stochastic systems. Jpa, 42(19):193001, 2009.
- [25] N. A. Sinitsyn and Ilya Nemenman. Universal geometric theory of mesoscopic stochastic pumps and reversible ratchets. *Phys. Rev. Lett.*, 99:220408, 2007.
- [26] NA Sinitsyn and Ilya Nemenman. The berry phase and the pump flux in stochastic chemical kinetics. Epl, 77:58001, 2007.
- [27] J. Splettstoesser, M. Governale, J. König, and R. Fazio. Adiabatic pumping through a quantum dot with

### Bibliography

coulomb interactions: a perturbation expansion in the tunnel coupling. Prb, 74:85305, 2006.

- [28] Joren Vanherck, Jens Schulenborg, Roman B. Saptsov, Janine Splettstoesser, and Maarten R. Wegewijs. Relaxation of quantum dots in a magnetic field at finite bias – charge, spin, and heat currents. *Physica Status Solidi* (b), 254:1600614, 2017.
- [29] O Viyuela, A Rivas, and M A Martin-Delgado. Symmetry-protected topological phases at finite temperature. 2D Mater., 2(3):34006, 2015.
- [30] M. R. Wegewijs, T. Pluecker, and J. Splettstoesser. Gauge freedom in observables and landsberg's nonadiabatic geometric phase: pumping spectroscopy of interacting open quantum systems. Phys. Rev. B (in print).